

## RECEIVED

AUG 2 6 2002

\$4 00/104/02 2152

Technology Center 2100

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. 09/866,502 **Application Number** TRANSMITTAL 05/25/2001 Filing Date Chang **FORM** First Named Inventor (to be used for all correspondence after initial filing) Group Art Unit Examiner Name SBC-0101 Attorney Docket Number Total Number of Pages in This Submission **ENCLOSURES** (check all that apply) Assignment Papers (for an Application) After Allowance Communication Fee Transmittal Form to Group Appeal Communication to Board Fee Attached Drawing(s) of Appeals and Interferences Appeal Communication to Group Licensing-related Papers Amendment / Reply (Appeal Notice, Brief, Reply Brief) Petition After Final Proprietary Information Petition to Convert to a Affidavits/declaration(s) Provisional Application Status Letter Power of Attorney, Revocation Change of Correspondence Other Enclosure(s) (please Extension of Time Request identify below): Terminal Disclaimer Copies of Disclosure **Express Abandonment Request** Request for Refund Information Disclosure Statement CD, Number of CD(s) . Certified Copy of Priority Document(s) Remarks Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Dale B. Halling Individual name Signature Date CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: aura P. Hansen Typed or printed name 2000 Signature

Date

Lauru



PATENT APPLICATION

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Chang et al

**EXAMINER:** 

SERIAL NO.:

09/866,502

ART GROUP:

FILED: 5/25/2001

CASE NO.: SBC-0101

TITLE: Method for Improving the Reliability of Peer -to - Peer Downloads

Law Office of Dale B. Halling, LLC 24 S. Weber St., Suite 311 Colorado Springs, CO 80903

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97

Honorable Commissioner of Patents and Trademarks Washington, D.C. 20231

AUG 2 6 2002

Dear Sir:

**Technology Center 2100** 

Under 37 C.F.R. § 1.97, Disclosure is submitted before the mailing of the first office action on merits so no fee is due. A supplemental list of documents is disclosed that may be material to the examination of this application. A copy of each of the documents is included herewith for the Examiner's consideration.

No inference should be drawn that the attached list represents a comprehensive investigation of the prior art; that any or all are pertinent to the invention; that the apparatus therein is analogous to the invention; or that any apparatus disclosed is the equivalent of the subject invention.

All of the applicant's claims are believed to be allowable over the references discussed above.

Respectfully submitted,

Fred Chan

Dale B. Halling

Attorney for the Applicants Registration No.:38,170

Phone: (719) 447-1990 Fax: (719) 447-9815



Applicant.

U.S. Department of Commerce Patent and Trademark Office

## LIST OF REFERENCES CITED BY APPLICANT

Page 1 of 1

Atty. Docket No. SBC-0101

Serial No. 09/866,502

Applicants: Chang et al

Filing Date:

Group:

rage 1 of 1							5/25/01		Огоир.			
		т		U.S. P.	ATENT DOCUM	ENT		1 -				
Examiner's Initials		Document No.	Date		Name .		Class	Sı	ıbclass			g Date ropriate)
	AΑ	5,848,231	12/8/1998	Teitelbaum et al		39	395		186			
	A B 6,029,195 2/22/2000		Herz		70	709		219				
	A C	6,046,980	4/4/2000	Packer		3'	370		230			
	A D	6,339,785	1/15/2002	Feigenbaum		70	709		213			
	ΑE				···							
	ΑF											
		<u> </u>	INT	ERNA	TIONAL PUBLIC	CATIO	ONS	1				
		1	Publication Publicat		1				g Internat'l		'l sub class	
		No.	Date	;	No.		Date	;	clas	ss		
	A G						$\square$ B	E	CE	M	ED	
	ΑH							ΛI	IG 2	6 20	ın2	
	ΑI											
-	ΑJ						recnnglogy		logy	Center 2100		
•	AK	,										
	<u> </u>		FO	REIGN	PATENT DOCU	ME	NTS					
		Document	Date		Country		Class		Subclass		Translation	
		No.		1		+				+	Yes	No
	A L				<del></del>	$\perp$	· 			$\bot$		
	AM				<del> </del>	$\bot$				_		
	AN				·	$\bot$				$\bot$		
	AO					丄						
		OTHER (INC	LUDING A	UTHC	R, TITLE, DATE	, PEI	RTINENT	PAC	GES, E	ETC.)		
·	AP											
:	AR											
xaminer		l			Date Considere	d			·		<del></del>	